

## **Regulation Best Interest Disclosure**

As part of its commitment and obligation to act in our customers' best interest, N.I.S. Financial Services, Inc. (NIS) has prepared written disclosures that provide all material facts about the scope and terms of our relationship with you, our customer. These may be supplemented verbally, if appropriate.

As an introducing broker-dealer NIS does not execute or clear trades. Additionally, NIS limits its recommendations to mutual fund investments from a limited number of independent mutual fund families who offer a broad range of mutual fund products. These include but may not be limited to the following: Amundi US, American Century Investments, and American Funds. NIS also has selling agreements with other mutual fund companies from which it does not actively make recommendations. These are subject to change. Please ask your registered representative or call NIS at (816) 842-8685 for a current and complete list of mutual fund families with which NIS has selling agreements and from which NIS actively makes recommendations.

NIS does not charge clients directly but instead receives commissions and revenues from the mutual fund companies. NIS clients will be charged fees by the mutual fund company as detailed in the related prospectus.

For more details please refer to form CRS, the prospectus, and the information provided by your Registered Representative.